



ARIZONA SUPREME COURT
Fiduciary License

INACTIVE STATUS
APPLICATION FOR 2020-2022 RENEWAL LICENSE

Any omission or misrepresentation of any fact required to be disclosed in this application or any accompanying statement is grounds for refusing to renew a license.

This document can be made available in large format or on audio tape upon request. Please contact the Fiduciary License Program at (602) 452-3378 or (602) 452-3545 (TDD).

1. CAREFULLY READ THE INSTRUCTIONS ON PAGE 6. The application must be legibly printed in ink or typed.
2. Complete ALL PAGES of this form and fulfill all other requirements shown in the attached instructions.
3. If any section of this application does not apply, please indicate by N/A.
4. Complete and submit this application with the applicable renewal fee prior to midnight May 21, 2020.

SECTION I: PERSONAL INFORMATION

First Name:		Middle Name:		(Legal) Last Name:	
Home Address: Physical Street Address (may not be a P.O. box):					
(Street)		(City)		(St)	(Zip)
Home Mailing Address:					
(Street)		(City)		(St)	(Zip)
Home Telephone Number: ()			Cell Phone Number: ()		
Home Email Address: (if any)					
Business Mailing Address: Physical Street Address (may not be P.O. box):					
(Street)		(City)		(St)	(Zip)
Business Telephone Number: ()			Fax: ()		

SECTION II: VEHICLE INFORMATION

Driver's License #: _____ State of Issuance: _____ Expiration Date: _____		
Vehicle License #: _____ State of Issuance: _____ Expiration Date: _____		
Vehicle Type: _____ Vehicle Year: _____		
Vehicle Make: _____ Vehicle Style: _____		
Vehicle Color: _____ Vehicle Model: _____		
Vehicle ID #: _____		

Secondary Vehicle:

Vehicle License #: _____ State of Issuance: _____ Expiration Date: _____

Vehicle Type: _____ Vehicle Year: _____

Vehicle Make: _____ Vehicle Style: _____

Vehicle Color: _____ Vehicle Model: _____

Vehicle ID #: _____

SECTION III: BACKGROUND INFORMATION: *Since you last renewed your license or received your initial license:*

1) Have you committed material misrepresentation, omission, fraud, dishonesty, or corruption in applying for a certificate/license or on a certificate/license examination in this state or any other state?	<input type="checkbox"/> Yes <input type="checkbox"/> No
2) Have you committed any act constituting material misrepresentation, omission, fraud, dishonesty or corruption in business or financial matters?	<input type="checkbox"/> Yes <input type="checkbox"/> No
3) Have you had conduct showing incompetence or a source of injury and loss to the public?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4) Have you been convicted by final judgment of a felony, regardless of whether civil rights have been restored?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5) Have you been convicted by final judgment of a misdemeanor, regardless of whether civil rights have been restored?	<input type="checkbox"/> Yes <input type="checkbox"/> No
6) Have you had a professional or occupational license or certificate denied, revoked, suspended or any disciplinary action taken?	<input type="checkbox"/> Yes <input type="checkbox"/> No
7) Have you had a professional or occupational license or certificate censured, placed on probation, or any disciplinary action taken?	<input type="checkbox"/> Yes <input type="checkbox"/> No
8) Have you been terminated, suspended, placed on probation, or other disciplinary action taken in past or present employment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
9) Have you been found civilly liable in an action involving misrepresentation, material omission, fraud, misappropriation, theft or conversion?	<input type="checkbox"/> Yes <input type="checkbox"/> No
10) Have you or are you currently on probation or parole?	<input type="checkbox"/> Yes <input type="checkbox"/> No
11) Have you violated any decision order or rule issued by a professional regulatory entity?	<input type="checkbox"/> Yes <input type="checkbox"/> No
12) Have you violated any order of a court, judicial officer, administrative tribunal, or the Board?	<input type="checkbox"/> Yes <input type="checkbox"/> No
13) Have you made a false or misleading statement or verification in support of an application for a certificate/license filed by another person?	<input type="checkbox"/> Yes <input type="checkbox"/> No
14) Have you made a false or misleading oral or written statement to division staff or the Board?	<input type="checkbox"/> Yes <input type="checkbox"/> No
15) Have you failed to disclose information on the certification/license application subsequently revealed through a background check?	<input type="checkbox"/> Yes <input type="checkbox"/> No

16) Have you failed to respond or furnish information to division staff or the Board when the information is legally requested and is in your control or is reasonably available to you and pertains to certification/license or investigative inquiries?	<input type="checkbox"/> Yes <input type="checkbox"/> No
17) Have you been a party to or claimed an interest in any civil proceedings (including but not limited to orders of protection, dissolution of marriage/family matters, bankruptcy, law suits, debt collection, etc.)? If so, provide the details, including the case name and number, a copy of the original complaint, and a copy of the final disposition with your	<input type="checkbox"/> Yes <input type="checkbox"/> No
18) Are there any pending administrative, judicial, or professional authority actions against you or your company/employer, other than civil traffic violations?	<input type="checkbox"/> Yes <input type="checkbox"/> No
19) Have you or your company/employer been contacted by any court, state or federal administrative or regulatory agency or the Better Business Bureau regarding allegation of adversarial actions or complaints?	<input type="checkbox"/> Yes <input type="checkbox"/> No
20) Have you or your company/employer been the subject of a court sanction/order, a complaint to the Better Business Bureau, or been contacted by any prosecutorial, judicial, or administrative agency or association regarding business practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No

DO NOT answer “Yes” if you have only civil traffic violations. **Regarding criminal matters**, the fact that you entered into a plea bargain or pled “no contest” or that a conviction has been vacated, pardoned, expunged, dismissed, or appealed does not mean that you can answer the question “No”. You **MUST** answer “Yes”.

If you have answered “Yes” to the section above:

Attach a written notarized affidavit to your renewal application which provides an explanation of the events and provide all associated documentation. If applicable, provide court documents including a copy of the complaint and final disposition.

Failure to attach a written notarized affidavit to your application may result in the delay of processing your application for renewal, expiration of your license, or denial of your application for renewal.

SECTION IV: APPLICANT CONTINUING EDUCATION INFORMATION:

Have you completed the required hours of continuing education during this renewal period?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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If you submit this application without having completed the required continuing education, you may be subject to denial of renewal or disciplinary action pursuant to the Arizona Code of Judicial Administration (“ACJA”) §§ 7-201 and 7-202.

Pursuant to § 7-202(L)(8)(d), you may be required to provide proof of your continuing education. The program will be audit sampling the continuing education credits of fiduciaries each renewal period. If you are randomly selected for audit, you will be required to submit proof of completion of your relevant continuing education activities.

If you are selected for an audit, registration forms indicating you have signed up for continuing education activities are not acceptable documentation; proof of attendance is

required.

☐ *By checking this box, I agree to the following:*

I certify under penalty of perjury that all information contained in my application, including all supporting documents, is true and correct to the best of my knowledge and belief. I understand that any false statements, misrepresentations or failures to disclose (omissions) made in this application may be grounds for denial of certification, subsequent suspension or revocation of certification or other disciplinary action deemed appropriate by the Board.

Signature of Applicant

Date

Printed Name of Applicant

Date

SECTION V: AUTHORIZATION, RELEASE, OATH and AFFIRMATION

Read the following and sign this page

Having filed this application, I hereby consent to having an investigation made of my moral character, professional reputation, and fitness for a fiduciary license. I agree to give any further information which may be required in reference to my past or current record.

I authorize and request every person, firm, company, corporation, governmental agency, court, association, or institution having control of any documents, records, and other information including documents, records, charges or complaints filed against me, formal or informal, pending or closed, or any other pertinent data, to permit the Arizona Supreme Court, Certification and Licensing Division, or any of its agents or representatives to inspect and make copies of such documents, records, and other information.

I release, discharge, and exonerate the Arizona Supreme Court, Certification and Licensing Division, all agents and representatives the Fiduciary Board, the State of Arizona, and any person furnishing information pursuant to this Authorization and Release from all liability which may arise from the investigation made by the Arizona Supreme Court, Certification and Licensing Division, all agents and representatives.

I understand omission or misrepresentation of any fact required to be disclosed in this application or any accompanying statement is grounds for denial of renewal of a license and/or for disciplinary action, up to and including revocation of a license.

Being duly sworn and under oath or affirmation, I acknowledge that I have read this application form and that all statements are true and complete to the best of my knowledge and belief and that my Authorization and Release are freely given.

I have read and reviewed Arizona Supreme Arizona Code of Judicial Administration §§ 7-201 and 7-202, governing fiduciaries, as adopted by the Arizona Supreme Court, and I agree to abide by the Arizona Code of Judicial Administration §§ 7-201 and 7-202, as promulgated by the Arizona Supreme Court.

I understand any renewal application filed after May 31st will be assessed an additional \$50.00 late fee, and any fees paid for the purpose of renewal of license or for late fees are NON-REFUNDABLE.

I declare under penalty of perjury under the laws of the State of Arizona that the foregoing is true and correct.

Full Signature of Applicant

AFFIDAVIT OF VERIFICATION

THE STATE OF ARIZONA, COUNTY OF _____

Before me, the undersigned authority, on this day personally appeared _____, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he/she executed the same for the purposes expressed, and affirmed that the facts detailed are true.

Given under my hand and seal of office on this _____ day of _____, 20_____.

Notary Public, State of Arizona

Notary's Name Printed

My Commission Expires

INSTRUCTIONS

Carefully read all instructions prior to completing the application. Incomplete application submissions will result in the rejection of the application. To obtain additional assistance, call the Certification and Licensing Division at (602) 452-3378, or e-mail: pfp@courts.az.gov.

1. **Clearly print or type all information.** Each section must be completed. Do not leave blank spaces. If a section does not apply to you, mark the space “Not Applicable” or “N/A”.
2. **Fees.** The renewal fee for all completed applications postmarked on or prior to May 31, 2020 is \$150.00. Fees are **NON-REFUNDABLE** and must be a check or money order made payable to the **Arizona Supreme Court**.
3. **Background Information.** If you answered “Yes” to any question in the Background Section III of this application, you are required to submit:
 - A **SIGNED** and **NOTARIZED** statement describing **in detail** all incidents including (1) names of all parties involved, (2) dates and locations, (3) the names and localities of any courts and/or administrative agencies involved, (4) the disposition of each matter, (5) whether the conviction, plea or finding was for a felony, misdemeanor, or open-ended charge. **Please include all required information with your application submission.**
4. **Please submit the completed application, all required documents and fees as referred to in the instructions to:**

**Arizona Supreme Court
Certification and Licensing Division
1501 West Washington Street, Suite #104
Phoenix, AZ 85007-3231**
5. **Notification.** Your cancelled check is proof we have received your application. You will be notified in writing of the decision by the Fiduciary Board regarding your application.